

IFW ✓

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Kenji HORI

Group Art Unit: 2873

Application No.: 10/665,568

Examiner: M. Hasan

Filed: September 22, 2003

Docket No.: 111608.01

For: OPTICAL COMPONENT THICKNESS ADJUSTMENT METHOD, OPTICAL COMPONENT, AND POSITION ADJUSTMENT METHOD FOR OPTICAL COMPONENT

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance or other action that closes prosecution (e.g., Quayle Action).
- ☒ a. Attached is our check no. 156722 in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please credit or debit Deposit Account No. 15-0461 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☒ 2. The present application was filed or entered the U.S. National Stage of the PCT after June 30, 2003. In accordance with the June 11, 2003, Notice waiving the requirements of 37 CFR §1.98(a)(2)(i), copies of any U.S. patents and patent application publications are not attached.

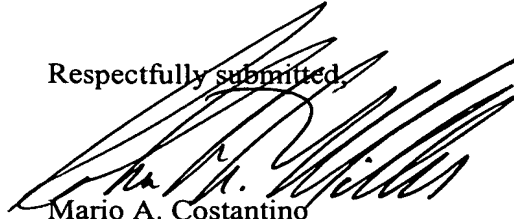
07/29/2004 BSAYASI1 00000053 10665568

01 FC:1806

180.00 0P

- ☒ 3. A computer-generated English translation of the following Japanese reference has been obtained from the website of the Japanese Patent Office ([<http://www.jpo.go.jp>]), and is attached, but has not been reviewed for accuracy. See Reference 4

Respectfully submitted,



Mario A. Costantino  
Registration No. 33,565

Robert A. Miller  
Registration No. 32,771

MAC:RAM/aaw

Date: July 28, 2004

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

<p>DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461</p>
--

Sheet 1 of 1

Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 111608.01		APPLICATION NO. 10/665,568	
INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)				APPLICANT(S) Kenji HORI			
				FILING DATE September 22, 2003		GROUP 2873	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
	1	US-2004/0125466	07-2004	Hendriks			
	2	6,451,420	09-2002	Jin et al.			
	3	5,044,737	09-1991	Blankenbecler			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
	4	JP-A-5-150108 w/ trans & abst.	06-18-1993	Japan			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
EXAMINER					DATE CONSIDERED		
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Date: July 28, 2004